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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	
Richard Jones) Examiner: Not Yet Assigned
Application No.: 10/811,521)) Art Unit: 2877
Filed: March 29, 2004	
For: METHOD AND APPARATUS PROVIDING AN OUTPUT COUPLER FOR AN OPTICAL BEAM))))
Mail Stop Amendment Commissioner for Patents	,

INFORMATION DISCLOSURE STATEMENT

Sir:

P.O. Box 1450

Alexandria, Virginia 22313-1450

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

FIRST CLASS CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Serv class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commis Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on September 1, 2005 (Date of Deposit)			
Yuko Tanaka (Typed or printed name of person mailing correspondence)			
(Signature of person mailing correspondence)			

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4-1-0

James Y. Go Reg. No. 40,621

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025

(206) 292-8600

Substitute for Form 1449/PTO PENFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet BADENT DOCUMENTS			Complete if Known			
			Application Number	10/811,521		
			Filing Date	March 29, 2004		
			First Named Inventor:	Richard Jones		
			Art Unit	2877		
			Examiner Name	Not Yet Assigned		
Sheet	MARTIN 1		of	2	Attorney Docket Number	42P18312
			U.S. PATEN	IT DOCUMENT	rs	
Examiner Initials*	Cite No.		Document Number Number-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	1.	US-	4,852,960	08-01-1989	Alferness et al.	
0	2.	US-	2003/0198259 A1	10-23-2003	Zhang et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	l T ⁶
	3.	WO 99/09440	02-25-1999	Foster-Miller, Inc.		
	4.	WO 2004/015466 A2 & A3	02-19-2004	Intense Photonics Limited		
	<u> </u>					

Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TOCommissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Complete if Known Substitute for Form 1449/PTO **Application Number** 10/811,521 INFORMATION DISCLOSURE Filing Date March 29, 2004 STATEMENT BY APPLICANT First Named Inventor: Richard Jones (use as many sheets as necessary) Art Unit 2877 **Examiner Name** Not Yet Assigned **Attorney Docket Number** 42P18312 **Sheet** 2 of 2 NON PATENT LITERATURE DOCUMENTS T^2 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite Examiner Initials* No item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published HAUS, H. A. et al., "Narrow-Band Optical Channel-Dropping Filter," IEEE Journal of 5. Lightwave Technology, Vol. 10, No. 1, January 1992, pp. 57 – 62. 6. PCT/US2005/009662, PCT Search Report and Written Opinion, June 30, 2005.

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

Date

Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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